

Version ID	MSQv4			
DDQ SECTION HEADER	#	QUESTION	VALUES	Condition of
Basic Information & Principal Contact	1	Business Name		
		ABN or Equivalent Company Identifier		
		Registered office address		
		Street Address 1		
		Street Address 2		
		City		
		Country/ Region	Country List	
		State/ Territory		
		Postcode		
	1.1	Total number of employees	1 to 50 51 to 250 251+	
	2	Contact Name (First Name and Last Name)		
		Phone Number (Office and Mobile)		
		Role/Position		
Email				
Modern Slavery	3.1	Are you required to report under modern slavery <u>or</u> <u>human rights</u> laws?	Yes	
			No	
			Don't Know	

	3.2	If yes, please select from the below	<u>Canadian Fighting Against Forced Labour and Child Labour in Supply Chains Act</u> Corporate Sustainability Directive (CSRD) Dutch Child Labour Act EU Non-Financial Reporting Directive French Corporate Duty of Vigilance Law German Act on Corporate Due Diligence Obligations in Supply Chains Modern Slavery Act - Australia Modern Slavery Act - UK Norwegian Transparency Act Transparency in Supply Chains - California US Tariffs Act Other	Q3.1 = Yes
	3.3	Is the most recent report published on the company website or a government register?	Yes [insert link] No	Q3.2 = one or more selected
Business Operations	4.1	Where are all of your current business operations? Please select all that apply.	Country List	
Indigenous People	5.1	Does any part of your business operations adversely impact on rights and interests (including land) of Indigenous people or the cultural heritage of Indigenous people in country?	Yes No	Q4.1 = Country with indigenous people
	5.2	Do you have any policies or guidelines on the impact on Indigenous people's rights and interests?	Yes No	Q5.1 = Yes
	5.3	Describe the policy or guideline	Canada Impact Benefit Agreement	Q5.1 = Yes

			Cultural Heritage Management Plan (AUS)	
			Native Title Agreement (AUS)	
			Reconciliation Action Plan (AUS)	
			Other	
Services/Goods to Provide	6.1	Please select the type of Activity or Activities that best describe the services/goods you are providing to us.	Division list	
			Sub-Division list	
			Group list	
	6.2	<u>Do you import any goods from outside of Canada (including goods not for resale)</u>	<u>Yes</u>	-
			<u>No</u>	
	7.1	As part of engaging in the above Industry Divisions do you supply us with, <u>use</u> or produce any of the below products?	Yes [The selected products need to be made visible to the client when they log on to see the third party answers]	If 4 + 6 = 'Q4A1 High Risk Products' worksheet
			No	
			Don't know	
	6.3	Are any of the following materials contained in your products	Aluminium/ Bauxite	Q6.1 = Industry with division code B, C, E, F, G, H , I
			Cobalt	
Copper				
Glass (silica sand)				
Gold				
Graphite (natural)				
Leather				
Lithium				
Mica				
Nickel				

			<u>Palm fruit or its derivatives</u>	
			Polysilicon	
			Rubber (natural)	
			Steel/ Iron	
			Tantalum	
			Tin	
			Tungsten	
			Zinc	
			None	
6.4	Does your company have a policy on the responsible sourcing of these raw materials?	Yes		Q6.2 = one or more material selected
		No		
6.5	Do you supply goods grown or goods manufactured or produced in another jurisdiction?	Yes		
		No		
		Don't Know		
6.6	Please also specify in which country/ies	Country List		Q6.4 = Yes
6.7	Do you supply us with goods, raw materials and/or produce harvested, extracted, processed or manufactured, whole or in part (including components): o in the Xinjiang Uyghur Autonomous Region (Xinjiang), o within China but outside of the XUAR Xinjiang by ethnic Uyghurs, Hui, Kazakhs, Kyrgyz, Tajiks, or Uzbeks workers from [?] as part of a government-operated labour transfer program?	Yes		
		No		

Workforce	8.1	Does your workforce include any of the following categories of workers?	Base-skilled workers	
			Conscripted <u>workers</u>	
			<u>Prison labour (incarcerated workers)</u>	
			Displaced workers (i.e. refugees)	
			Labour hire workers	
			Migrant workers performing base -skilled work	
			Young workers (under 18)	
			Workers residing at accommodation arranged by your business	
			Workers provided under a government-run labour transfer program	
			None of the above	
8.2	Have any of the workers or contractors on site been required to pay a recruitment fee to anyone to secure work on your site?	Yes	Q8.1 = Worker category selected	
		No		
8.3	Have you repaid all recruitment fees paid by your workers?	Yes	8.2 = Yes	
		No		
8.4	Are workers on site required to surrender passports or any other forms of original personal <u>or travel</u> identification to your business or any other third parties prior to <u>commencing work</u> ?	Yes	Q8.1 = Worker category selected	
		No		
8.5	<u>Have any of the workers or contractors on site been forced to perform any work under the reasonable expectation of threat that their safety or the safety of a person known to them would be threatened if they failed to provide or offer to provide the labour or service?</u>	<u>Yes</u>	Q8.1 = Worker category selected	
		<u>No</u>		
8.6		<u>Yes</u>		

		<u>Does your business ensure that workers are entitled to work in the country in which they work?</u>	<u>No</u>	<u>Q8.1 = Migrant worker category selected</u>
	<u>8.7</u>	<u>If your business operates in jurisdictions where unions are legal, are your workers free to form or join a trade union or other association related to their work?</u>	<u>Yes</u>	<u>Q8.1 = Worker category selected</u>
			<u>No</u>	
	<u>8.8</u>	<u>For workers under 18 years of age, does your business prohibit work (including providing, or offering to provide, labour or services) which, by its nature of circumstances in which it is carried out, is likely to:</u> <u>(i) be mentally, physically, socially or morally dangerous to those persons;</u> <u>(ii) be contrary to applicable laws;</u> <u>(iii) interfere with a child's schooling or deprive a child of the opportunity to attend or continue to attend school;</u> <u>(iv) require a child to attempt to combine school attendance with excessively long and heavy work</u>	<u>Yes</u>	<u>Q8.1 = Worker category (young workers) selected</u>
			<u>No</u>	
	<u>8.9</u>	<u>Are your workers free to resign from their employment giving reasonable notice</u>	<u>Yes</u>	
			<u>No</u>	
	<u>8.10</u>	<u>Does your business deduct wages, impose monetary fines or withhold pay of workers as a result of performance-related issues?</u>	<u>Yes</u>	
			<u>No</u>	
Controls and Policies Implementation	<u>9.1</u>	<u>Are your suppliers required to assess and address modern slavery risks in their operations and supply chains?</u>	<u>Yes</u>	-
			<u>No</u>	
	<u>9.2</u>	<u>For which of these areas has your business implemented controls, adopted written codes or policies addressing the following subject matters?</u>	1-3 Policies	

-	9.6	Does your business comply with all applicable labour and employment and industrial relations law?	Yes	-	
			No		
Health and Safety on Workforce	10.1	Has your business implemented controls to protect the health and safety of its workforce?	Yes		
			No		
			Don't know		
	10.2	Do the controls include; a) providing appropriate personal protective equipment (PPE) to workers b) safety standards in the provision and maintenance of the workplace, workstation and work equipment; c) protective measures to avoid exposure to chemical, physical or biological substances; and d) measures to prevent excessive physical and mental fatigue?	Yes	Q10.1 = Yes	
			No		
	10.3	Have you trained your workforce on health and safety at work?	Yes	Q10.1 = Yes	
			No		
			Don't know		
	10.4	What percentage of your current workforce has been trained on health and safety at work?	1-20%	Q10.2 = Yes	
			20-40%		
40-60%					
60-80%					
80-100%					
	11.1	Has your business implemented controls to protect the health and safety of the employees of its third-party service providers?	Yes		
			No		

Health and Safety on Third Parties			Don't know		
Human Rights Workforce Training	12.1	Has your workforce completed training on human rights-related issues?	Yes		
			No		
			Don't know		
	12.2	If so, please specify which topics your workforce has been trained on Anti-Discrimination Human Rights/Modern Slavery Anti-Harassment Accessibility	One or more topics selected	Q12.1 = Yes	
			None of the above		
			Don't Know		
	12.3	What proportion of your workforce has been trained on human rights-related issues?	1-20%	Q12.2 = one or more topic selected	
			20-40%		
			40-60%		
			60-80%		
80-100%					
Human Rights Third Party Training	13.1	Have you trained your Third-Party Service Providers in relation to human rights?	Yes		
			No		
			Don't know		
Human Rights Impact	14.1	Have you assessed your business' human rights impact <u>by undertaking modern slavery or human rights due diligence, including in relation to your supply chain?</u>	Yes		
			No		
	14.2		Yes	Q14.1 = Yes	

		Following your human rights impact assessment, did you make any adjustments to your policies and controls?	No		
	14.3	Do you intend to undertake a refresh of your human rights impact assessment in the future?	Yes	Q14.1 = Yes	
			No		
Human Rights Audit	15.1	Has your business been the subject of an internal or external human rights risks assessment?	Yes		
			No		
	15.2	Does your business hold any current external certification or accreditation in relation to responsible sourcing <u>that incorporates human rights</u> ?	Yes <u>[if yes, please describe (free text field)]</u>	If yes to 15.1	
			No		
15.3	Did the assessment make any findings on human rights impacts?	Yes	Q15.1 = Yes		
		No			
	15.4	Please describe	Text input	Q15.4 = Yes	
	15.5	<u>Does your business have a remediation framework in place if and when an actual or suspected instance of modern slavery is identified in your operations or supply chains?</u>	Yes		
			No		
Corporate Entities	16.1	Which of our corporate entities do you supply goods and/or services to?	Client inputs divisions		
Written Contract for Supply of Goods and/or	17.1	Do you have a written contract with us for the supply of goods and/or services?	Yes		
			No		
			Don't Know		
Supplier Charter	18.1	Please confirm that you have read and agree to adhere to this supplier charter, when providing goods	Yes, I agree to comply with the supplier charter		

		and services to us and agree to notify us without delay in the event of an actual or suspected breach.	No, I am unable to comply with the requirements of the supplier charter		
			No, I agree to comply with a comparable [charter/supplier code of conduct]		
18.2	(Click here to read the supplier charter)				
	- Add mandatory tickbox indicating "I have read the supplier charter <u>and the information provided here is true, complete and correct.</u>				
18.3	- Add Signature, Full Name, Role/Position and Date				